IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Inventorship Hunt et al. 3 Attorney's Docket No. MS1-547US System and Method for Distributed Management of Shared Computers Title: 5 TRANSMITTAL LETTER AND CERTIFICATE OF MAILING 6 To: The Commissioner of Patents & Trademarks Washington, D.C. 20231 7 From: Allan T. Sponseller (Tel. 509-324-9256; Fax 509-323-8979) 8 Lee & Hayes, PLLC 421 W. Riverside Avenue, Suite 500 9 Spokane, WA 99201 10 The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption. 11 1. Transmittal letter including Certificate of Mailing 2. Return Post Card 12 3. Request for Correction of Filing Receipt w/attachments 13 Small Entity Status [] Large Entity Status [x] 14 Applicant hereby requests an extension of time in any case such an extension is necessary. The fee should be charged to the Deposit Account indicated below. 15 The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit 16 Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any processing fees, including any necessary extension fees under 37 CFR 1.12 17 18 Reg. No. 38,318 19

CERTIFICATE OF MAILING

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to The Commissioner of Patents & Trademarks, Washington, D.C. 20231, on the below-indicated date

By: Chery & Boies

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Technology Center 2100

IN THE UNITED STATES CATENT AND TRADEMARK OFFICE

| RADEMA | |
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| Application Serial No. | |
| Filing Date | 10/24/2000 |
| Inventor | Hunt et al. |
| Applicant | Microsoft Corporation |
| Attorney's Docket No. | MS1-547US |
| Title: System and Method for Distributed Management | t of Shared Computers |

REQUEST FOR CORRECTION OF FILING RECEIPT

To: Application Processing Division – Customer Correction Branch

Commissioner of Patents and Trademarks

Washington, D.C. 20231

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From: Allan T. Sponseller (Tel. 509-324-9256; Fax 509-323-8979)

Lee & Hayes, PLLC

421 W. Riverside Avenue, Suite 500

Spokane, WA 99201

There is an error in the Filing Receipt. The residence is entered incorrectly for the first and fourth listed inventors. The correct residence for Galen C. Hunt is **Bellevue**, <u>WA</u>. The correct residence for David S. Stutz is **Redmond**, <u>WA</u>. A copy of the Declaration is enclosed for verification of the correct information.

A copy of the filing receipt with the correction noted is also enclosed.

Applicant respectfully requests correction and issuance of a corrected filing receipt.

By:

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Respectfully submitted,

Dated: 2 /3/2001

Allan T. Sponseller Reg. No. 38,318

(509) 324-9256

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United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|----------------|----------|------------|------------|
| 09/695,812 | 10/24/2000 | 2161. | 2488 | MS1-547US | 7 | 76 | .11 |

Allan T Sponseller Lee & Hayes P L L C 421 W Riverside Avenue Suite 500 Spokane, WA 99201 FILING RECEIPT

OC000000005745552

Date Mailed: 02/08/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Galen C. Hunt, Bellevue, MA; VVA
Aamer Hydrie, Kirkland, WA;
Steven P. Levi, Redmond, WA;
David S. Stutz, Redmond, MA;
Bassam Tabbara, Seattle, WA;
Robert V. Welland, Seattle, WA;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 12/26/2000

Title

System and method for distributed management of shared computers

Preliminary Class

705

Data entry by: RORIE, DEANNA

Team: OIPE

Date: 02/08/2001



LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CRF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application.
 They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail Tabel. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Oliver of Initial Patent Examination
Customer Service Center
Washington, DC 20231









UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

Bib Data Sheet

CONFIRMATION NO. 4273

| SERIAL NUMBE 09/695,812 | R FILING DATE 10/24/2000 RULE | | L ASS 705 | GROUP ART UNIT 2161 | | ATTORNEY DOCKET NO. MS1-547US | | |
|---|--|------|---------------------------|------------------------|------|-------------------------------------|----|-----------------------------|
| Aamer Hydri Steven P. Le David S. Stu Bassam Tab Robert V. W ** CONTINUING D | int, Bellevue, WA; ie, Kirkland, WA; evi, Redmond, WA; itz, Redmond, WA; obara, Seattle, WA; /elland, Seattle, WA; OATA *********************************** | **** | | | | | | |
| GRANTED ** 12/20 Foreign Priority claimed 35 USC 119 (a-d) conditionet Verified and | tions yes no no Met at | fter | STATE OR COUNTRY WA | SHEI DRAV | VING | TOTA CLAII 76 | MS | INDEPENDENT CLAIMS 11 |
| Acknowledged Examiner's Signature Initials ADDRESS Allan T Sponseller Lee & Hayes P L L C 421 W Riverside Avenue Suite 500 Spokane ,WA 99201 | | | | | | | | |
| TITLE System and method for distributed management of shared computers | | | | | | | | |
| FILING FEE RECEIVED 2488 FEES: Authority has been given in Paper No to charge/credit DEPOSIT ACCOUNT No for following: All Fees 1.16 Fees (Filing 1.17 Fees (Proceedings) 1.18 Fees (Issue 1.18 Fees | | | | cessing Ext. of | | | | |

IN THE UNITED STATES PARENT AND TRADEMARK OFFICE

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| rillig Date | | Hunt et al. |
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| A Homorda I Jookst No | | IVIDI DT/OL |
| Title: System and Method | for Distributed Management | of Shared Computers |

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled "System and Method for Distributed Management of Shared Computers," referenced above.

I have reviewed and understand the content of the above-identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

PRIOR FOREIGN APPLICATIONS: no applications for foreign patents or inventor's certificates have been filed prior to the date of execution of this declaration.

Power of Attorney

I appoint the following attorneys to prosecute this application and transact all future business in the Patent and Trademark Office connected with this application:

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Lewis C. Lee, Reg. No. 34,656; Daniel L. Hayes, Reg. No. 34,618; Allan T. Sponseller, Reg. 38,318; Steven R. Sponseller, Reg. No. 39,384; James R. Banowsky, Reg. No. 37,773; Lance R. Sadler, Reg. No. 38,605; Michael A. Proksch, Reg. No. 43,021; Thomas A. Jolly, Reg. No. 39,241; David A. Morasch, Reg. No. 42,905; Kasey C. Christie, Reg. No. 40,559; Nathan R. Rieth, Reg. No. 44,302; Brian G. Hart, Reg. No. 44,421; Katie E. Sako, Reg. No. 32,628 and Daniel D. Crouse, Reg. No. 32,022.

Send correspondence to: LEE & HAYES, PLLC, 421 W. Riverside Avenue, Suite 500, Spokane, Washington, 99201. Direct telephone calls to: Allan T. Sponseller (509) 324-9256.

All statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statement may jeopardize the validity of the application or any patent issued therefrom.

Full name of inventor:

Inventor's Signature

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Galen C. Hunt

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Date: 27/Nov/2000

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| 1 | | * * * * * * * * * |
| 2 | Full name of inventor: | Aamer Hydrie |
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| 6 7 | Post Office Address: | 12511 NE 107th Place Kirkland, WA 98033 |
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| 9 | | |
| 10 | Full name of inventor: | Steven P. Levi Date: 12/11/2000 |
| 11 | Inventor's Signature | Date: 12/1/ car |
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| 16 | | ***** |
| 17 | Full name of inventor: | David S. Stutz |
| 18 | Inventor's Signature | Date: 17/20/2000 |
| 19 | Residence: | Redmond, WA |
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|----|---|---|----------------|
| 2 | Full name of inventor: Inventor's Signature | Bassam Tabbara | Date: 11/28/00 |
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| 5 | Citizenship: | Lebanon | |
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| 7 | · | | |
| 8 | | **** | |
| 9 | Full name of inventor: | Robert V. Welland | |
| 10 | Inventor's Signature | Wh Thele | Date: /2/11/00 |
| 11 | Residence: | Seattle, WA | |
| 12 | Citizenship: | US | |
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